

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
**01460048AA**

In Re Application Of: **Mitsuya OKADA et al.**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
<b>10/525,079</b>	<b>February 18, 2005</b>	<b>Peter Vincent Agustin</b>	<b>30743</b>	<b>2627</b>	<b>5067</b>

Title: **OPTICAL INFORMATION RECORDING AND REPRODUCING MEDIUM**

Address to:  
**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☒ the fee set forth in 37 CFR 1.17(p).

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10/525,079	February 18, 2005	Peter Vincent Agustin	30743	2627	5067

Title: **OPTICAL INFORMATION RECORDING AND REPRODUCING MEDIUM**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 50-2041 as described below.
- ☒ Charge the amount of **\$180.00**
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

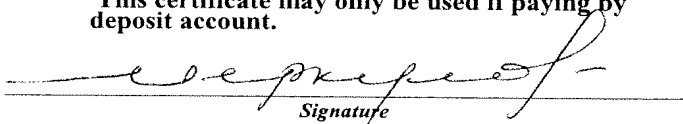
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\*This certificate may only be used if paying by deposit account.

  
Signature

Dated: **February 20, 2008**

**Olga V. Merkoulouva**  
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CC:

Docket 01460048AA  
Serial No. 10/525,079

1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

OKADA et al.

Confirmation No. 5067

Serial No. 10/525,079

Group Art Unit: 2627

Filed: February 18, 2005

Examiner: Peter Vincent Agustin

For: OPTICAL INFORMATION RECORDING AND REPRODUCING  
MEDIUM

Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

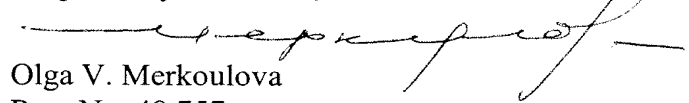
Sir:

Under the provisions of 37 C.F.R. §1.97 through §1.98 and pursuant to applicant's duty of disclosure under 37 C.F.R. §1.56, applicant respectfully brings the following document, cited in the Supplemental Search Report issued by the European Patent Office on November 14, 2007 in regard of the related European patent application, for the above-identified patent application and listed on the attached forms PTO-1449, to the attention of the Examiner in charge of the above-identified application.

This citation does not constitute an admission that the references are relevant or material to the claims. They are only cited as constituting related art of which the applicant is aware.

It is respectfully requested that the listed references be formally made of record in the application. Please charge any deficiencies in fees and credit any overpayment of fees to the Attorney's Deposit Account No. 50-2041 (Whitham, Curtis, Christofferson & Cook, P.C.).

Respectfully submitted,



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**Customer No.: 30743**

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>	ATTY DOCKET NO.	APPLICATION NO.
	<b>01460048AA</b>	<b>10/525,079</b>
	APPLICANT(S) <b>Mitsuya OKADA et al.</b>	
	FILING DATE <b>February 18, 2005</b>	GROUP ART UNIT <b>2627</b>

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**U.S. PATENT APPLICATION PUBLICATIONS**

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		<b>JP 2000 293947 A</b>	<b>10/20/2000</b>	<b>Japan</b>			<b>✓</b>	
		<b>EP 1 231 601 A</b>	<b>08/14/2002</b>	<b>Europe</b>			<b>✓</b>	
		<b>EP 1 124 221 A1</b>	<b>08/16/2001</b>	<b>Europe</b>			<b>✓</b>	
		<b>EP 1 176 586 A</b>	<b>01/30/2002</b>	<b>Europe</b>			<b>✓</b>	
		<b>JP 2000 285469 A</b>	<b>10/13/2000</b>	<b>Japan</b>			<b>✓</b>	

**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*

			<b>A copy of the Supplemental European Search Report issued by the European patent Office in relation to related European patent application on November 14, 2007, pages 1 to 6</b>

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>	ATTY DOCKET NO. <b>01460048AA</b>	APPLICATION NO. <b>10/525,079</b>
	Mitsuya OKADA et al.	
	FILING <b>February 18, 2005</b>	GROUP ART <b>2627</b>

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*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

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*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		EP 1 260 972 A	11/27/2002	Europe			✓	

<b>OTHER DOCUMENTS</b> <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>			

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
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